Appendix

FLAG STATE PERFORMANCE SELF-ASSESSMENT FORM

All questions relate to merchant ships flying the flag of the State concerned

GENERAI	_	
List the should be	of State/Associate Member Administrations which you represent at IMO (a separate assessment form be completed for each. Include all flag States, including those which are not r States of IMO but are Parties to IMO instruments).	Hong Kong, China
Name o Address	one number nber	
Answer: 3 Indica	Mr. K.L. LUI General Manager/Ship Safety Hong Kong Marine Department 38 Pier Road, Harbour Building, Central, Hong Kong Tel No.: (852) 2852 4407 Fax No.: (852) 2854 9416 E-mail: ssb@mardep.gov.hk te to which of the following international instruments your State is a Party	
and w	hich (optional) MARPOL 73/78 Annexes have been ratified.	
	SOLAS 74	Yes / No
	SOLAS Protocol 78	Yes / No
	SOLAS Protocol 88	Yes / No
	MARPOL 73/78	Yes / No
	Annex III	Yes / No
	Annex IV	Yes / No
	Annex V	Yes / No
	Annex VI	Yes / No
	LL 66	Yes / No
	LL Protocol 88	Yes / No
	TONNAGE 69	Yes / No
	COLREG 72	Yes / No
	UNCLOS	Yes / No

(Report as at 31.12.2024)

4.1	How many merchant ships of 100 gross tonnage and upwards, subject to the relevant instruments you indicated in question 3, are currently flying the flag of	2,170
4.2	your State? What is the total gross tonnage of merchant ships currently flying the flag of your State?	131,690,726
[NT]	ERNAL CRITERIA	
Lega	ll framework	
5	Does your Administration have the necessary laws in force to implement international maritime safety and pollution prevention instruments with regard to:	
	.1 the construction, equipment and management of ships;	Yes / No
	.2 the prevention, reduction and control of pollution of the marine environment;	Yes / No
	3. the safe loading of ships;	Yes / No
	4. the manning of ships;	Yes / No
	5. the safety of navigation (including taking part in mandatory reporting and routing systems), maintenance of communications and prevention of collisions?	Yes / No
5	Does your Administration have the necessary laws in force to ensure the provision of penalties of adequate severity to discourage violation of international instruments to which your State is a Party?	Yes / No
7	Does your Administration have the necessary laws in force to provide for ship inspections to ensure compliance with international maritime safety and pollution prevention standards to which your State is a Party?	Yes / No
8	Does your Administration have the necessary laws in force to take legal action against ships which have been identified as not being in compliance with the international instruments to which your State is a Party?	Yes / No
9	Does your Administration have the necessary laws in force to carry out the required casualty investigations?	Yes / No
Enfo	prcement	
10	Does your Administration have an infrastructure, including personnel with appropriate technical expertise and experience, to:	
	1. identify ships flying the flag of your State which are not in compliance with international maritime safety and pollution prevention requirements?	Yes / No
	2. take action against ships flying the flag of your State which have been identified as not being in compliance with international maritime safety and pollution prevention requirements?	Yes / No
	- If yes, against how many such ships was action taken for each of the previous 5 years*?	

Answe	er:	2020	2021	2022	2023	2024	
		0 ship	0 ship	0 ship	0 ship	1 ship	
	·	Five-year	rolling period	= 1 ship			-
11]	Did your	•	n investigate de	*	ort States of sh	ing flying the	
t	flag of yo	ur State for ea	ch of the previ any such deten	ous 5 years*?	(see also quest		Yes / No
Answe	er:	2020	2021	2022	2023	2024	
		56 ships	53 ships	58 ships	35 ships	29 ships***	
		Five-year	rolling period	= 231 investig	ations		
Recog	nized org	ganizations ac	ting on behalf	of the Admir	istration		•
(as your Admi nder the releva				
Answe	er:	1. American	Bureau of Ship	oping (ABS);			
		2. Bureau Ve	eritas SA (BV)				
		3. China Clas					
		4. DNV AS (
		5. Korean Re	gister (KR);				
		6. Lloyd's Re	egister Group l	Limited (LR);			
		7. Nippon Ka	aiji Kyokai (Nl	K);			
		8. RINA Ser	vices S.p.A (R	NA); and			
		9. Russian M	aritime Regist	er of Shipping	(RS)^		
^ subje	ct to limitatio	n as indicated in que	estion 15				
i 1	it follow requireme	resolutions A. ents in SOLAS	ion delegates a 739(18) and A 74, regulation delegation of a	A.789(19) as 1 1 XI/1, and the	ninimum requ	irements, the	Yes / No
(•	t legal arrang	n provided IM gements with	1.4		0	Yes / No
		•	and/or certific zed organizatio		•		
Answe	er:	Surveys for th	e issue of:				(i) * Not applicable
		(1) Cargo Shij	o Safety Certif	icate*;			to Russian Maritime Register of Shipping
		(2) Cargo Shij	o Safety Const	ruction Certifi	cate*;		(RS); and
		(3) Cargo Shij	o Safety Equip	ment Certifica	te*;		

(4) Cargo Ship Safety Radio Certificate*;	(ii) # For cargo ships
(5) International Pollution Prevent Certificates for the Carriage of Noxious Liquid Substance in Bulk;	only.
(6) Document of Compliance for Carriage of Dangerous Goods;	
(7) Certificate of Fitness for the Carriage of Liquefied Gases in Bulk*;	
(8) International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk*;	
(9) Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk;	
(10) International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk;	
(11) Document of Authorization (Grain Loading)*;	
(12) Document of Compliance (ISM)#;	
(13) Safety Management Certificate (ISM)#;	
(14) International Ship Security Certificate#;	
(15) International Certificate of Fitness for the Carriage of INF Cargo*;	
(16) Industrial Personnel Safety Certificate*;	
(17) International Sewage Pollution Prevention Certificate;	
(18) International Sewage Pollution Prevention Exemption Certificate;	
(19) International Air Pollution Prevention Certificate;	
(20) International Air Pollution Prevention Exemption Certificate;	
(21) International Energy Efficiency Certificate;	
(22) Engine International Air Pollution Prevention Certificate;	
(23) Statement of Compliance – Fuel Oil Consumption Reporting and Operational Carbon Intensity Rating;	
(24) International Oil Pollution Prevention Certificate;	
(25) International Oil Pollution Prevention Exemption Certificate;	
(26) International Load Line Certificate;	
(27) International Tonnage Certificate; and	
(28) Exemption certificate for cargo ships except the initial exemption certificate issued by this Administration.	
16 Indicate, for the instruments you listed under question 3, which survey and/or certification functions are carried out by your Administration.	

			r
Ans	swer:	The following survey and certification functions are carried out by this Administration:(a) survey for the issue of Passenger Ship Safety Certificate;	
		(b) survey for the issue of High-Speed Craft Safety Certificate;	
		(c) audit and issue of Safety Management Certificate and Document of Compliance for passenger ships and their technical management companies respectively;	
		(d) audit and issue of International Ship Security Certificate for passenger ships;	
		(e) issue of Dispensation / Exemption Certificate for passenger ships;	
		(f) issue of Minimum Safe Manning Certificate;	
		(g) issue of International Load Line Exemption Certificate; and	
		(h) issue of initial Dispensation / Exemption Certificate for cargo ships.	
17		Administration carry out the verification and monitoring functions resolution A.739(18)?	Yes / No
18		your Administration carry out the verification and monitoring functions resolution A.739(18)?	
Ans	swer:	 (a) A Flag State Quality Control (FSQC) system was established and one of the purposes is to verify and monitor the performance of the ROs who conduct surveys, verifications and certifications for HK ships on behalf of this Administration. The report of ROs' performance is uploaded to the website of this Administration annually; 	
		(b) Under the FSQC system, the quality of HK registered ships whose quality is questionable under the screening of FSQC system will be inspected by this Administration;	
		(c) HK ships and their respective RO are required to rectify the deficiencies and non-conformities detected under the FSQC system;	
		(d) When considered necessary, additional DOC and/or SMC audits will be conducted by this Administration; and	
		(e) MD also participate in DOC/SMC/ISPS audit and MLC inspection conducted by ROs, including verification of audit/inspection reports.	
19		does your Administration verify and monitor the work of recognized ns acting on its behalf?	On a continuous basis
20		your Administration take specific responsibility for international issued on its behalf by dependent territories/second registers?	Not applicable
			l

Casualty and i	ncident investig	gation					
that thorous and	Administration l ugh and prompt d very serious c esolution A.849	casualty and asualties, as	l incident inv defined in p	vestigatio	ns into	all cases of	Yes / No
.1 How n	f the previous 5 nany serious and nany such seriou	l very serious	s casualties w	ere inves	tigated?		
Answer:							
.1	Year	2020	2021	2022	2023	2024	
	No. of serious or very serious casualties investigated	3	8	10	9	5	
Answer:							
.2	Year	2020	2021	2022	2023	2024	
	No. reported	14	8	10	9	5	
	Administration p and incidents d?						Yes / No
mandatory	f the previous 5 y annual reporting	g required by	article 11.1(f) of MA	RPOL 7	3/78?	Yes / No
	v allegations of made against sh						
Answer:	2020	2021	2022	202		2024	
	1 case	0 case	0 case	0 ca	ase	0 case	
	Five-year re	olling period	= 1 case				
.1	How many Administration articles 4 and 6	n carried out i				has your ordance with	
Answer:	2020	2021	2022	20	23	2024	
	1 case	0 case	0 case	0 ca		0 case	
		olling period		0.02	ase	0 case	

		1 0			of the previo	ub o yourb	•
Answer:		2020	2021	20	22	2023	2024
		1 case	0 case	e 0 ca	ase () case	0 case
		Five-year	rolling per	riod = 1 cas	e		
XTERN	AL (CRITERIA					
6 For ea	ich o	f the previous	5 years*, h	low many sl	nips flying t	he flag of ye	our State:
.1 hay	e hee	en involved in	serious or	verv serious	s casualties?	,	
				•			
.2 hav	e bec	come total loss	ses or const	tructive tota	l losses?		
.3 hav	e cau	used severe po	llution**?				
.4 Wh	at ca	sualty rate per	1000 ship	s does this r	epresent?		
5 Wh	atic	the total tonna	ae involve	d as a nerce	entage of the	total fleet?	
Answer:	at 15						
AllSWEI .	.1	X7	2020	2021	2022	2022	2024
	• 1	Year	2020 18	2021 17	2022 14	2023 9	2024 5
		No. of Ships	18	17	14	9	3
	.2	Year	2020	2021	2022	2023	2024
		No. of Ships	0	0	0	1	0
				1			I
	.3	Year	2020	2021	2022	2023	2024
		No. of Ships	0	0	0	0	0
	Л	<u>г</u>		1	1		
	.4	Year	2020	2021	2022	2023	2024
		No. of ships involved accident	18	17	14	9	5
		Total no. of ships	2394	2332	2197	2156	2170
		Rate per 1000	7.5	7.3	6.0	4.2	2.3
	.5	Year	2020	2021	2022	2023	2024
		Total tonnage involved as a percentage of the total	0.49%	0.63%	0.33%	0.27%	0.21%

.2 due ship NB - In machine	of the previous asualties invol- to occupation os flying the fla ocludes: falls; ary spaces; dec homicide; suic	ving ships f al accidents ag of your S boarding c aths in enclo	lying the fla s (i.e. other tate? or disembar osed spaces	g of your S than from o king; accid ; but does	tate? casualties to dents on do not include	eck and in : accidents	
.1	Year	2020	2021	2022	2023	2024	
	Persons lost their lives	14	15	29	18	7	
.2	Year	2020	2021	2022	2023	2024	
	Persons lost their lives	17	12	12	7	4	
28.1 For each were de COLRE	tained, within G 72, by port S	the scope States?	of SOLAS	74, MARF	OL 73778,	LL 66 or	
were de	tained, within G 72, by port S Year	the scope States? 2020	of SOLAS	74, MARF	POL 73778,	LL 66 or 2024	
were de COLRE Answer:	G 72, by port S	States?					-
were de COLRE Answer:	G 72, by port S Year	States? 2020	2021	2022	2023	2024	-
were de COLRE Answer:	G 72, by port S Year Detentions Nos. of	States? 2020 56	2021 53	2022 58	2023 35	2024 29***	
were de COLRE Answer:	G 72, by port S Year Detentions Nos. of inspection Detention rate	2020 56 3665 1.53%	2021 53 4257	2022 58 4142 1.40%	2023 35 4328	2024 29*** 4226***	
were de COLRE Answer: .1 28.2 What de	G 72, by port S Year Detentions Nos. of inspection Detention rate	2020 56 3665 1.53% r rolling ave	$2021 \\ 53 \\ 4257 \\ 1.25\% \\ erage = 1.12$	2022 58 4142 1.40%	2023 35 4328 0.81%	2024 29*** 4226***	
were de COLRE Answer: .1 28.2 What de (see also	G 72, by port S Year Detentions Nos. of inspection Detention rate Five-year tention rate pe o question 11).	2020 56 3665 1.53% r rolling ave	$2021 \\ 53 \\ 4257 \\ 1.25\% \\ erage = 1.12$	2022 58 4142 1.40%	2023 35 4328 0.81%	2024 29*** 4226***	
were de COLRE Answer: .1 28.2 What de (see also Answer:	G 72, by port S Year Detentions Nos. of inspection Detention rate Five-year tention rate pe question 11).	2020 56 3665 1.53% r rolling ave r 1000 ship	2021 53 4257 1.25% erage = 1.12 inspections	2022 58 4142 1.40% % does this re	2023 35 4328 0.81%	2024 29*** 4226*** 0.69%	

^{*} or from the date your Government became a Party to the relevant instrument, if that is later.

^{** &}quot;Severe pollution" is a case of pollution which, as evaluated by the coastal State(s) affected or the flag State, as appropriate, produces a major deleterious effect upon the environment, or which would have produced such an effect without preventive action.

^{***} the updated figure would be pending the final annual reports of the MOUs.