Appendix

FLAG STATE PERFORMANCE SELF-ASSESSMENT FORM

All questions relate to merchant ships flying the flag of the State concerned

(Report as at 31.12.2023)

GE	NERAL	
1	Name of State/Associate Member List the Administrations which you represent at IMO (a separate assessment for should be completed for each. Include all flag States, including those which are number States of IMO but are Parties to IMO instruments).	
2	Name of contact person responsible for the completion of this form Name of Administration Address Telephone number Fax number E-mail address	
An	Mr. K.L. LUI General Manager/Ship Safety Hong Kong Marine Department 38 Pier Road, Harbour Building, Central, Hong Kong Tel No.: (852) 2852 4407 Fax No.: (852) 2854 9416 E-mail: ssb@mardep.gov.hk	
3	Indicate to which of the following international instruments your State is a Parand which (optional) MARPOL 73/78 Annexes have been ratified.	rty
	SOLAS 74 SOLAS Protocol 78	Yes / No Yes / No
	SOLAS Protocol 88	Yes / No
	MARPOL 73/78	Yes / No
	Annex III	Yes / No
	Annex IV	Yes / No
	Annex V	Yes / No
	Annex VI	Yes / No
	LL 66	Yes / No
	LL Protocol 88	Yes / No
	TONNAGE 69	Yes / No
	COLREG 72	Yes / No
	UNCLOS	Yes / No

4.1	How many merchant ships of 100 gross tonnage and upwards, subject to the	2,156
	relevant instruments you indicated in question 3, are currently flying the flag of your State?	2,100
4.2	What is the total gross tonnage of merchant ships currently flying the flag of your State?	128,012,303
INT	ERNAL CRITERIA	
Lega	al framework	
5	Does your Administration have the necessary laws in force to implement international maritime safety and pollution prevention instruments with regard to:	
	.1 the construction, equipment and management of ships;	Yes / No
	.2 the prevention, reduction and control of pollution of the marine environment;	Yes / No
	3. the safe loading of ships;	Yes / No
	4. the manning of ships;	Yes / No
	5. the safety of navigation (including taking part in mandatory reporting and routing systems), maintenance of communications and prevention of collisions?	Yes / No
6	Does your Administration have the necessary laws in force to ensure the provision of penalties of adequate severity to discourage violation of international instruments to which your State is a Party?	Yes / No
7	Does your Administration have the necessary laws in force to provide for ship inspections to ensure compliance with international maritime safety and pollution prevention standards to which your State is a Party?	Yes / No
8	Does your Administration have the necessary laws in force to take legal action against ships which have been identified as not being in compliance with the international instruments to which your State is a Party?	Yes / No
9	Does your Administration have the necessary laws in force to carry out the required casualty investigations?	Yes / No
Enfo	prcement	
10	Does your Administration have an infrastructure, including personnel with appropriate technical expertise and experience, to:	
	1. identify ships flying the flag of your State which are not in compliance with international maritime safety and pollution prevention requirements?	Yes / No
	2. take action against ships flying the flag of your State which have been identified as not being in compliance with international maritime safety and pollution prevention requirements?	Yes / No
	- If yes, against how many such ships was action taken for each of the previous 5 years*?	

Answer:	2019	2020	2021	2022	2023	
	0 ship	0 ship	0 ship	0 ship	0 ship	
	Five-year	rolling period	= 0 ship			
flag	your Administration of your State for ea es, indicate how ma	ch of the previ	ous 5 years*?	(see also ques		Yes / No
Answer:	2019	2020	2021	2022	2023	
	45 ships	56 ships	53 ships	58 ships	35 ships***	
	Five-year	rolling period	= 247 investig	ations		
Recognized	d organizations ac	ting on behalf	f of the Admir	nistration		
	ch organizations h gation of authority u					
Answer:	1. American	Bureau of Ship	oping (ABS);			
	2. Bureau Ve	eritas SA (BV)	;			
	3. China Cla	ssification Soc	iety (CCS);			
	4. DNV AS ((DNV);				
	5. Korean Re	egister (KR);				
	6. Lloyd's R	egister Group	Limited (LR);			
	7. Nippon Ka	aiji Kyokai (N	K);			
	8. RINA Ser	vices S.p.A (R	INA); and			
	9. Russian M	Iaritime Regist	er of Shipping	(RS)		
it fol requi	n your Administrat llow resolutions A irements in SOLAS RPOL 73/78 in any	.739(18) and 2 5 74, regulation	A.789(19) as 1 n XI/1, and the	ninimum requ	irements, the	Yes / No
equiv	your Administratio valent legal arran tion 12?	*	1 .		_	Yes / No
	eate which survey gated to the recogni					
Answer:	Surveys for th	e issue of:				(1) ROs have been
	(a) Cargo Shi	p Safety Certif	icate;			authorized to conduct surveys and
	(b) Cargo Shi	p Safety Const	ruction Certifi	cate;		issue certificates to
	(c) Cargo Shi	p Safety Equip	ment Certifica	ite;		cargo ships for items (a) to (v); and
	(d) Cargo Shi	p Safety Radio	Certificate;			

(e)	International Pollution Prevent Certificates for the Carriage of Noxious Liquid Substance in Bulk;	(2) # ROs have also been authorized to
(f)	Document of Compliance for Carriage of Dangerous Goods;	conduct surveys and issue certificates to
(g)	passenger ships and high-speed crafts for	
(h)	International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk;	items (o) to (u).
(i)	Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk;	
(j)	International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk;	
(k)	Document of Authorization (Grain Loading);	
(1)	Document of Compliance (ISM);	
(m)	Safety Management Certificate (ISM);	
(n)	International Ship Security Certificate;	
(o)	International Sewage Pollution Prevention Certificate#;	
(p)	International Air Pollution Prevention Certificate#;	
(q)	International Energy Efficiency Certificate#;	
(r)	Engine International Air Pollution Prevention Certificate#;	
(s)	International Oil Pollution Prevention Certificate#;	
(t)	International Load Line Certificate#;	
(u)	International Tonnage Certificate#; and	
(v)	Exemption certificate for cargo ships except the initial exemption certificate issued by this Administration.	
	the instruments you listed under question 3, which survey and/or unctions are carried out by your Administration.	
	he following survey and certification functions are carried out by this dministration:	
	survey for the issue of Passenger Ship Safety Certificate;	
(b	survey for the issue of High-Speed Craft Safety Certificate;	
(c	audit and issue of Safety Management Certificate and Document of Compliance for passenger ships and their technical management companies respectively;	
(d	audit and issue of International Ship Security Certificate for passenger ships;	
(e	e) issue of Dispensation / Exemption Certificate for passenger ships;	

(g) issue of International Load Line Exemption Certificate; and (h) issue of initial Dispensation / Exemption Certificate for cargo ships. 17 Does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)? 18 How does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)? Answer: (a) A Flag State Quality Control (FSQC) system was established and one of the purposes is to verify and monitor the performance of the ROs who conduct surveys, verifications and certifications for HK ships on behalf of this Administration. The report of ROs' performance is uploaded to the website of this Administration annually; (b) Under the FSQC system, the quality of HK registered ships whose quality is questionable under the screening of FSQC system; will be inspected by this Administration; (c) HK ships and their respective RO are required to rectify the deficiencies and non-conformities detected under the FSQC system; (d) When considered necessary, additional DOC and/or SMC audits will be conducted by this Administration; and (e) MD also participate in DOC/SMC/ISPS audit and MLC inspection conducted by ROs, including verification of audit/inspection reports. 19 How often does your Administration verify and monitor the work of recognized organizations acting on its behalf? 20 How does your Administration take specific responsibility for international certificates issued on its behalf by dependent territories/second registers? Casualty and incident investigation 21 Does your Administration have the means (financial and administrative) to ensure that through and prompt casualty and incident investigations into all cases of serious and very serious casualties, as defined in paragraphs 4.2 and 4.3 of the Annex to resolution A.849(20), are carried out? 22 For each of the previous 5 years*, for ships flying the flag of your State: 3.1 How many serious and very serious casualties were investigated? 2. How many such serious a		(f)	issue of Minimum Safe Manning Certificate;	
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Does your Administration have the means (financial and administrative) to ensure that thorough and prompt casualty and incident investigations into all cases of serious and very serious casualties, as defined in paragraphs 4.2 and 4.3 of the Annex to resolution A.849(20), are carried out? 22 For each of the previous 5 years*, for ships flying the flag of your State: .1 How many serious and very serious casualties were investigated? .2 How many such serious and very serious casualties were reported to IMO? Answer:	20			Not applicable
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	22	.1 How many		
.1 Year 2019 2020 2021 2022 2023	Ans			
		.1 Ye	ar 2019 2020 2021 2022 2023	

	No. of serious or very serious casualties investigated	14	14	8	10	9	
Answer:	Year	2019	2020	2021	2022	2023	
	No. reported	14	14	8	10	9	
	Administration pro and incidents on ed?						Yes / No
	f the previous 5 year annual reporting r						Yes / No
How many have been 5 years*?	y allegations of vio	olations, ac s flying the	ccording to flag of you	article 4 our State in	of MARPO each of the	OL 73/78, e previous	
Answer:	2019	2020	2021	20:	22	2023	
	1 case	1 case	0 case	0 ca		0 case	
.1	How many in Administration carticles 4 and 6 carticles 4.	arried out i	n the previo				
Answer:	2019	2020	2021	20)22	2023	
	1 case	1 case	0 case	0 c	ase	0 case	
.2	In how many or reporting State o	cases did	your Admi				
Answer:	2019	2020	2021	20)22	2023	
	1 case	1 case	0 case	0 c	ase	0 case	
	Five-year roll	ing period	= 2 cases				
EXTERNAL (CRITERIA						
	f the previous 5 ye		• •	• •	flag of yo	ur State:	

- .2 have become total losses or constructive total losses?
- .3 have caused severe pollution**?
- .4 What casualty rate per 1000 ships does this represent?
- .5 What is the total tonnage involved as a percentage of the total fleet?

Answer:

.1	Year	2019	2020	2021	2022	2023
	No. of Ships	18	18	17	14	9

.2	Year	2019	2020	2021	2022	2023
	No. of Ships	0	0	0	0	1

.3	Year	2019	2020	2021	2022	2023
	No. of Ships	1	0	0	0	0

.4	Year	2019	2020	2021	2022	2023
	No. of ships involved accident	18	18	17	14	9
	Total no. of ships	2387	2394	2332	2197	2156
	Rate per 1000	7.5	7.5	7.3	6.0	4.2

.5	Year	2019	2020	2021	2022	2023
	Total tonnage involved as a percentage of the total fleet	0.59%	0.49%	0.63%	0.33%	0.27%

- 27 In each of the previous 5 years*, how many lives have been lost:
 - .1 in casualties involving ships flying the flag of your State?
 - .2 due to occupational accidents (i.e. other than from casualties to ships) on ships flying the flag of your State?

NB - Includes: falls; boarding or disembarking; accidents on deck and in machinery spaces; deaths in enclosed spaces; but does not include: accidents ashore; homicide; suicide; or deaths from disease or natural causes.

Answer:

.1	Year	2019	2020	2021	2022	2023
	Persons lost their lives	24	14	15	29	18

.2	Year	2019	2020	2021	2022	2023	
	Persons lost their lives	11	17	12	12	7	
28.1 For each were de COLRE	h of the previo etained, within GG 72, by port	us 5 years*, the scope States?	how many of SOLAS	ships flying 74, MARI	the flag of POL 73/78,	your State LL 66 or	
Answer:	;						
.1	Year	2019	2020	2021	2022	2023	
	Detentions	45	56	53	58	35***	
	Nos. of inspection	5653	3665	4257	4142	4328***	
	Detention rate	0.81%	1.53%	1.25%	1.40%	0.81%	
	Five-yea	r rolling ave	erage = 1.16	%			
	etention rate pe o question 11).	r 1000 ship	inspections	does this re	present?		
Answer:							
.2	Year	2019	2020	2021	2022	2023	
	Detentions	8.1	15.3	12.5	14.0	8.1	
	Five-year rolling average = 11.60						

or from the date your Government became a Party to the relevant instrument, if that is later.

^{** &}quot;Severe pollution" is a case of pollution which, as evaluated by the coastal State(s) affected or the flag State, as appropriate, produces a major deleterious effect upon the environment, or which would have produced such an effect without preventive action.

^{***} the updated figure would be pending the final annual reports of the MOUs.